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PTO-1082

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Case Docket No. TUC920000096US1

May 30, 2001

Express Mail Label No. EL821158261US

0022.0005

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): J.E. Bish and D.A. Burton

For: **METHOD, SYSTEM, AND PROGRAM FOR FOR INITIALIZING A
STORAGE DEVICE COMPRISING MULTIPLE STORAGE UNITS
THROUGH A STORAGE CONTROLLER**

24033

PATENT TRADEMARK OFFICE

JC997 U.S. PTO
09/870291

05/30/01

Enclosed are:

- ☒ 4 No. of Sheets of Drawings Sheet(s) of drawings (☒ informal) + 0 extra copies;
25 pages of Application; 12 pages of specification, 1 page of abstract
☒ An assignment of the invention to International Business Machines Corporation. (☐ Will follow.)
An associate power of attorney.
A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
☒ Declaration and Power of Attorney. (☐ Will follow.)
Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
IDS enclosed. ☐ with references.

| CALCULATION OF FEES | | | | | |
|--|------------------------------------|----------------------------|-----------------------|--|---------|
| ITEM | NO. OF CLAIMS FILED MINUS BASE* | NO. OF CLAIMS OVER BASE | X SM/LG ENTITY FEE | \$ AMOUNT | \$ FEE |
| A TOTAL CLAIMS FEE | 51 - 20* = | 31 | X \$9 or \$18 | \$558 | |
| B INDEPENDENT CLAIMS FEE** | 7 - 3* = | 4 | X \$40 or \$80 | \$320 | |
| C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) | | | | | 878 |
| D MULTIPLE-DEPENDENT CLAIMS FEE | | | | SMALL ENTITY FEE = \$135; LARGE ENTITY FEE = \$270 | \$0 |
| E BASIC FEE* | | | | SMALL ENTITY FEE = \$355; LARGE ENTITY FEE = \$710 | \$710 |
| F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E) | | | | | \$1,588 |
| G ASSIGNMENT RECORDING FEE | | | | \$ 40 | \$40 |
| **LIST INDEPENDENT CLAIMS 1, 10, 16, 25, 31, 40, 46 | | | | | |

Please charge Dep. Acct. No. 50-0585 in the amount of

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**A copy of this sheet is
enclosed.**

- ☒ A check in the amount of \$ 1,588 to cover the filing fee is enclosed.
☒ Check for \$ 40 covering the Recordation of Assignment fee enclosed.
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☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

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Jc879 U.S. PTO

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for
J.E. Bish and D.A. Burton
Serial No.: --
Filed: May 30, 2001
For: **METHOD, SYSTEM, AND PROGRAM
FOR FOR INITIALIZING A STORAGE
DEVICE COMPRISING MULTIPLE
STORAGE UNITS THROUGH A STORAGE
CONTROLLER**

Examiner: --
Art Unit: --

CERTIFICATE OF MAILING

Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

"Express Mail" Label No. EL821158261US

I hereby certify that patent application papers, including specification, claims and 4 sheets of informal drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

May 30, 2001
(Date of Deposit)

Patricia McLaughlin
(Name of person mailing paper or fee)
Patricia McLaughlin
(Signature)